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FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 45

Application Number 09/804,943

Filing Date March 13, 2001

First Named Inventor Broadbent

Art Unit 3624

Examiner Name E. Colbert

Attorney Docket Number 020530CIP

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Kirkpatrick & Lockhart Nicholson Graham LLP		
Signature			
Printed name	Jonathan C. Parks		
Date	December 12, 2006	Reg. No.	40,120

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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12-12-06

IFW 3624



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 3624 :
Examiner: Colbert, E. :
In re Application of: : AN INTERFACE SYSTEM FOR A
Broadbent et al. : MORTGAGE LOAN ORIGINATOR
Serial No.: 09/804,943 : COMPLIANCE ENGINE
Filing Date: March 13, 2001 :

Mail Stop: Amendment
Commissioner for Patents
P.O. Box: 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number: ER524399246US

Date of Deposit: December 12, 2006

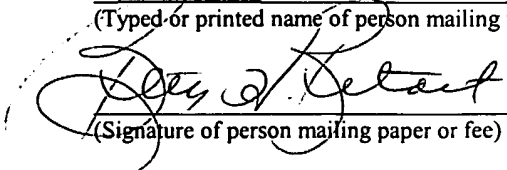
I hereby certify that the following attached paper or fee

TRANSMITTAL FORM
RESPONSE TO OFFICE ACTION
44 REPLACEMENT SHEETS OF DRAWINGS ATTACHED

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PATENT
020530CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit:	3694	:	
Examiner:	Colbert, E.	:	
In re Application of:		:	AN INTERFACE SYSTEM FOR A
Broadbent et al.		:	MORTGAGE LOAN ORIGINATOR
		:	COMPLIANCE ENGINE
Serial No.:	09/804,943	:	
Filing Date:	March 13, 2001	:	

RESPONSE TO OFFICE ACTION

December 12, 2006

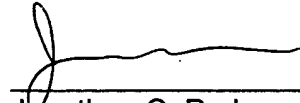
Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This communication is responsive to the Office Action mailed December 8, 2006. Please substitute the enclosed forty-four (44) replacement sheets of drawings (Figures 1-44) for the drawings presently on file with the captioned patent application.

Any questions regarding this submission are invited to the attention of applicants' undersigned representative.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Jonathan C. Parks', is written over a horizontal line.

Jonathan C. Parks
Reg. No. 40,120

Attorney for Applicants

KIRKPATRICK & LOCKHART NICHOLSON GRAHAM LLP
Henry W. Oliver Building
535 Smithfield Street
Pittsburgh, Pennsylvania 15222-2312

Telephone: (412) 355-6288
Facsimile: (412) 355-6501
E-mail: jparks@klngr.com

Customer No. 26285